



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Martin Behr et al.

CASE NO: 26090-047

SERIAL NO: 10/698,365

GROUP ART UNIT: Unknown

FILED: November 3, 2003

EXAMINER: Unknown

FOR: Object Analysis Apparatus

TRANSMITTAL OF SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BEFORE MAILING DATE OF A FIRST OFFICE ACTION

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

The Supplemental Information Disclosure Statement transmitted herewith is being filed before the mailing date of the earliest occurrence of a first Office Action on the merits. Therefore, Applicants believe that no fee is due in accordance with the filing of this Information Disclosure Statement.

The Commissioner is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 501447 (Potter Anderson & Corroon LLP).

Respectfully submitted,

Rakesh Mehta

Attorney for Applicants

Reg. No.: 50,224

Telephone: (302) 984-6089 Facsimile: (302) 658-1192

Date: August 27, 2007





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Martin Behr et al.

CASE NO: 26090-047

SERIAL NO: 10/698,365

GROUP ART UNIT: Unknown

FILED: November 3, 2003

EXAMINER: Unknown

FOR: Object Analysis Apparatus

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Listed on accompanying Forms PTO/SB/08 A&B are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

The Examiner is requested to consider and to make record herein of all the references cited by or to the Office in connection with the listed applications. Applicants have included all of the references.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that an exhaustive search has been made, or that there does not exist information more material to the examination of the present patent application. The Examiner is specifically requested not to rely solely on the material submitted herewith. It is further understood that the Examiner will review art of record in all 35 U.S.C. § 120 priority documents.

It is respectfully requested that the Examiner initial and return a copy of the enclosed Forms PTO/SB/08 A&B, and to indicate in the official file wrapper of this patent application that the documents have been considered.

The Commissioner is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 501447 (Potter Anderson & Corroon LLP).

Respectfully submitted,

Rakesh Mehta

Attorney for Applicants

Reg. No.: 50,224

Telephone: (302) 984-6089 Facsimile: (302) 658-1192

Dated: <u>August 27, 2007</u>

PTO/SB/08A (04-07)

Approved for use through 09/30/2007. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/698,365		
Filing Date	November 3, 2003		
First Named Inventor	BEHR, Martin		
Art Unit	3624		
Examiner Name	Unknown		
Attorney Docket Number	26090-047		

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
		Number-Kind Code ^{2 (# known)}			Figures Appear
	1	^{US-} 4,227,211	10/07/1980	Disbrow	
	2	^{US-} 4,324,367	11/25/1986	Shafer et al.	
	3	^{US-} 4,741,042	04/26/1988	Throop et al.	
	4	^{US-} 4,887,155	12/12/1989	Massen	
	5	^{US-} 4,946,046	08/07/1990	Affleck et al.	
	6	^{US-} 4,963,035	10/16/1990	McCarthy et al.	
	7	^{US-} 5,132,538	07/21/1992	Norris	
	8	^{US-} 5,253,765	10/19/1993	Moorehead et al.	
	9	^{US-} 5,257,182	10/26/1993	Luck et al.	
	10	^{US-} 5,321,491	06/14/1991	Summers et al.	
	11	^{US-} 5,392,359	02/21/1995	Futamura et al.	
	12	^{US-} 5,470,175	11/28/1995	Jensen et al.	
	13	^{US-} 5,526,437	06/11/1996	West	
	14	^{US-} 5,655,028	08/05/1997	Soll et al.	
	15	^{US-} 5,661,820	08/26/1997	Kegelmeyer, Jr.	
	16	^{US-} 5,732,147	03/24/1998	Тао	
	17	^{US-} 5,761,070	06/02/1998	Conners et al.	
	18	^{US-} 5,764,792	06/09/1998	Kennealy	T
	19	^{US-} 5,764,819	06/09/1998	Orr et al.	

Lines, assages	
es Appear	İ
	Ī
	Ī
	T
	7
	1

Examiner	Date	
Signature	Considered	
+·•	00.13/00.00	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.qov or MPEP 901.04. There office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

Sheet 2

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/698,365		
Filing Date	November 3, 2003		
First Named Inventor	BEHR, Martin	/- /	
Art Unit	3624		
Examiner Name	Unknown		
Attorney Docket Number	26090-047		

Examiner	Cite No.1			Name of Patentee or	Pages, Columns, Lines, Where
Initials*		Number-Kind Code ^{2 (# known)}	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
	20	^{US-} 5,841,883	11/24/1998	Kono et al.	
	21	^{US-} 5,845,002	12/01/1998	Heck et al.	
	22	^{US-} 5,850,470	12/15/1998	Kung et al.	
	23	^{US-} 5,864,984	02/02/1999	McNertney	
	24	^{US-} 5,933,524	08/03/1999	Schuster et al.	
	25	^{US-} 6,005,959	12/21/1999	Mohan et al.	
	26	^{US-} 6,009,186	12/28/1999	Goretta et al.	
	27	^{US-} 6,014,451	01/11/2000	Berry et al.	
	28	^{US-} 6,236,739	05/22/2001	Conrad	
	29	^{US-} 6,771,836	08/03/2004	Lawton	
	30	^{US-} 6,819,790	11/16/2004	Suzuki et al.	
	31	^{US-} 6,937,744	08/30/2005	Toyama	
	32	^{US-} 5,933,519	08/03/1999	Lee et al.	
		US-			

	FORE	IGN PATENT DOCU	MENTS		
Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
 ļ	Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY	**	Or Relevant Figures Appear	T ⁶
 <u> </u>					
 ļ					
 ļ					
<u> </u>					

Examiner	Date	
Signature		
Olginature	Consider	ed

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND

TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number		10698365		
Filing Date		2003-11-03		
First Named Inventor	BEHF	R, Martin		
Art Unit		3624		
Examiner Name	Unkno	own		
Attorney Docket Number		26090-047		

If you wis	h to a	dd additional Foreign Patent Document citation information please click the Add button		
		NON-PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Examiner Initials* Cite No Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.			
	1	BOSER, B.E., GUYON, I.M. and VAPNIK, V.N. (1992) A training algorithm for optimal margin classifiers. of the Annual ACMWorkshop on Computational Learning Theory, New York, NY, pp. 144-152	. Proceedings	
	2	ImageProPlus Online, Jul. 10, 2001, Mediacybernetics XP-002236715		٦
	3	LAWSON, D., HALE, R. and WILLIAMS, B. (1987) Brain stetson: a tool for the design and test of neural simulations. Proceedings of the Southeast Conference, Tampa, Fl. pp. 530-534	newtork	
	4	LEE, J.S., HWANG, J., DAVIS, D.T. and NELSON, A.C. (1991) Integration of neural networks and decisi classifiers for automated cytology screening. Proceedings of the International Joint Conference on Neur vol. 1, Seattle, WA, pp. 257-262.		
NeuralWare.com "NeuralWare Products, NeuralWorks Predict" Jul. 19, 2001 (website - www.neuralware.com) REFENES, A.N., Jain, N. and ALSULAIMAN, M.M. (1990) An integrated neural network system for histological imaunderstanding. Proceedings of the SPIE, vol. 1386, Machine Vision Systems Integration in Industry, pp. 62-74				
				_
	7	REVENUE, M., ELMOATAZ, A., PORQUET, C. and CARDOT, H. (1993) An automatic System for the classification of cellular categories in cytological images. Proceedings of the SPIE, vol. 2055, Intelligent Robots and Computer Vision XII, pp. 32-43		
If you wis	n to ac	ld additional non-patent literature document citation information please click the Add button		
		EXAMINER SIGNATURE		
Examiner	Signa	ture Date Considered		
*EXAMIN	ER: In not in	itial if reference considered, whether or not citation is in conformance with MPEP 609. Draw lin conformance and not considered. Include copy of this form with next communication to applica	ne through a	